SMALL BUSINESS ENTERPRISE, MINORITY AND WOMAN-OWNED BUSINESS ENTERPRISE GOALS

THE SMALL BUSINESS SUBCONTRACTOR PARTICIPATION GOAL IS: 10%

THE MINORITY-OWNED BUSINESS ENTERPRISE SUBCONTRACTOR PARTICIPATION GOAL IS: 11%

THE WOMAN-OWNED BUSINESS SUBCONTRACTOR PARTICIPATION GOAL IS: 4%

TO BE DETERMINED RESPONSIVE A BIDDER MUST DEMONSTRATE THAT:

It will meet the above goals in the performance of this Contract;

By submitting the <u>Bidder and Subcontractor Participation Commitment Report (SBE/DBE Form 1) a Bidder certifies that it is committed to using the identified SBEs in the performance of the contract.</u>

SBE/DBE FORM 1 BIDDER AND SUBCONTRACTOR PARTICIPATION COMMITMENT REPORTM

SBE/DBE Form 1 BIDDER AND SUBCONTRACTOR

PARTICIPATION COMMITMENT REPORT

-	W						
		DIDDED AND O	SBE/DE			AFNT DEDODT	
			JBCONTRACTOR PA				
	100	ITRACT NO:			BID AMOUNT:	\$	
	PRC	JECT NAME:					
I	BID	DER'S COMPANY NAME:					
	ls Bi	dder An SBE/DBE/MBE/MBE/DVBE or SFLE	BE? Yes N	lo	If joint venture with SBE/I	DBE/MBE/WBE/DVBE	
:	ls Bi SBE	dder a joint venture with /DBE/MBE/WBE/DVBE or SFLBE partner?	Yes N	io	SFLBE enter percentage joint venture:	of SBE/DBE participat	ion in %
+		*Type: Indicate if SBE/DBE/MBE/WBE/DB	VE subcontractor is a first	tier (F)), lower tier (L), supplier (S) or service contractor	(SC, e.g. trucker)
	TYPE.	NAME & ADDRESS OF SBE/DBE PRIME, or SUBCONTRACTOR, or SUBPLIER OF SERVICE PROVIDER	Certification Type (i.e., Local, CUCP, Calif. SBE, SFPW Contract Compliance Office verified) And Cert. No.	Bid Item No.	(briefly describe the that will be performe	ed or materials to I by each BE/DVBE/SFLBE contracted if the is an	DOLLAR AMOUNT FOR SBE/DBE/MBE/ WBE/DVBE/SFLBE PARTICIPATION
							\$
							\$
							\$
							\$
					TOTAL CLAIMED SFLE	E/8BE/DBE	\$
١,	10	Section 00 22 14 "SBE/DBE Program" to d					%
		ount for participation of SBE/DBE firms.	etermine now to count the	dollar	TOTAL CLAIMED MIN 8BE/DBE PARTICIPAT		\$
							%
					TOTAL CLAIMED WOR	MAN-OWNED \$BE/DBE	\$
							6/ /6
					TOTAL CLAIMED DVB DBE PARTICIPATION	E/VO 8B-OWNED 8BE/	\$
							%
					TOTAL CLAIMED 8BE PARTICIPATION	E/DBE-OWNED	\$
							%
_		lare under populty of porium under the laws					

CITY AND COUNTY OF SAN FRANCISCO

Bidder's Authorized Representative (Signature):

If the SBE/DBE Form 1 is not submitted with the bid or within 5 working Days of the Bid opening, the bid may be deemed non-responsive.

All bidders are required to complete SBE/DBE Form 1 and include the names of the SBEs/DBEs/DVBEs being used, a description of the work the SBEs/DBEs will perform, the services or supplies that will be provided by each SBE/DBE, and the dollar value of each SBE/DBE transaction. (Note: The SBE/DBE subcontractors listed on SBE/DBE Form 1 are also required to be listed on the Proposed Subcontractors Form (Section 00 43 36) when the amount of the subcontractor's bid is in excess of ½ of 1% of the base bid.)

SBE/DBE Form 1 cont'd

Prime Contractors must identify all SBE/DBE/MBE/WBE/DVBE firms participating in the project regardless of tier. Names of the First Tier SBE/DBE/DVBE Subcontractors and their respective item(s) of work listed should be consistent, where applicable, with the names and items of work in the "List of Subcontractors" form submitted.

PLEASE NOTE: It is the bidder's responsibility to verify that the SBE(s)/DBE(s)/DVBE(s) are certified at the time of bid opening. This verification of the SBE/DBE status, in the form of certification letter or website listing, may be submitted with your bid proposal. If it is not, the apparent low Bidder, and any other Bidder so requested shall submit to the Contract Compliance Officer said verification within five (5) working days after the Bid Opening

SBE/DBE FORM 2 CONTRACTOR/SUBCONTRACTOR PARTICIPATION- GOOD FAITH EFFORTS

This form must be completed and submitted along with compelling documentation detailing the good faith efforts made to meet the SBE/DBE participation goals, if the information submitted on SBE/DBE Form 1 indicates that the SBE/DBE goals have not been met.

If the SBE /DBE participation goals are not met, and if this form, along with compelling documentation detailing the good faith efforts made to meet the goals, is not completed and returned with the bid, the bid may be deemed non-responsive and rejected.

GOOD FAITH EVALUATION

The SFPW Contract Compliance Officer will review the GFE report submitted by the Bidder with its Bid and determine whether, prior to submission of Bid, the Bidder has performed the quality, quantity and intensity of efforts that demonstrates a reasonably active and aggressive attempt to meet the contract-specific goal.

Good Faith Effort Evaluation Process

- A. If the apparent low bidder fails to meet the SBE/MBE/WBE/DVBE Goals, its good faith effort will be evaluated to determine whether it has made adequate good faith efforts. The quality, quantity, and intensity of the different kinds of efforts that the bidder has made will be considered. As part of this evaluation process, the SFPW Compliance Officer will consider the performance of all the other bidders in meeting the goals. For example, when the apparent successful bidder fails to meet the contract goal, but the 2nd, 3rd, 4th and all others meet it, SFPW may reasonably raise the question of whether, with additional reasonable efforts, the apparent successful bidder could have met the goal.
- B. If the apparent low bidder fails to meet at least the average SBE and DVBE commitment of all bidders, its good faith effort may be determined inadequate, and the bid may be declared nonresponsive.
- C. If the apparent successful bidder fails to meet the goal but meets or exceeds the average MBE/WBE/DVBE participation obtained by other bidders, SFPW may view this, in conjunction with other factors, as evidence of the apparent successful bidder having made good faith efforts.

SBE/DBE Form 2

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SBE/DBE FEDERAL FORMS

Construction Contracts

SBE/DBE CONTRACTOR/SUBCONTRACTOR PARTICIPATION – GOOD FAITH EFFORTS

			-	made ramber.	-		
CITY AND	5.	List the names of :	Pr	oject Name:			
		Names o	Bi	dder's Company Name:			
List below the na		- Names o		Address:			
mail for this proj Caltrans CUCP with certainty wi			ef		DBE participation goals, if the	pelling documentation detailing information submitted on S	
Names of SBEs/DB WBEs, and DVBEs :			de		nade to meet the goals, is not o	, along with compelling docum completed and returned with th	
	6.	Summarize below		Good Faith Effort Evaluation			
		in their sub-propo	1.	determine whether it has made kinds of efforts that the bidder h	adequate good faith efforts. The nas made will be considered. As	BE Goals, its good faith effort will quality, quantity, and intensity o part of this evaluation SFPW will	f the different consider the
				to meet the contract goal, but the	ne 2 nd , 3 rd , 4 th and all others mee	xample, when the apparent succ t it, SFPW may reasonably raise ssful bidder could have met the g	the question of
	7.	If insurance is a rea a. List the names a	2.		to meet at least the average SBE , and the bid may be declared no	, MBE and WBE commitment, its onresponsive.	s good faith effor
Summarize belo SBEs/DBEs, the tasks into econo			3.			s or exceeds the average MBEA conjunction with other factors, a	
for doing portion				apparent successful bidder hav		,	
make portions o				still submit the following informa-	ation to protect their eligibility for	WBE/DVBE goals have been me the contract. This is because the e goals for various reasons, e.g.,	Contract
Items of Worl				SBE/DBE/MBE/WBE/DVBE su	bcontractor submitted by the prin		•
REIIS OF FROM		b. List the name			demonstrate that the bidder ma		
		City's Bonding	PI	ease supply the following informa	ation:		
		Name of /		Attending any Prebid meeting		rtment to inform all bidders of SB	E/DBE Program
				Date of Prebid Meeting	Name	es of Attendees	
List below the n summary of the	NOTE telep	E: Use additional sh hone logs should acc					_
Nan							
		Bidd					
	_			08/01/24	SBE/DBE Form 2 - 1		tor Participation

Even if bidder's SBE/DBE Form 1 indicates the SBE/DBE/MBE/WBE/DVBE goals have been met, bidders are strongly advised to still submit the following information to protect their eligibility for the contract. This is because the Contract Compliance Officer may determine that bidders have not met the goals for various reasons, e.g., if an SBE/DBE/MBE/WBE/DVBE subcontractor submitted by the prime contractor was not SBE/DBE/MBE/WBE/LBE/DVBE certified on the bid due date. In these cases, SBE/DBE Form 1 will not normally provide sufficient information to demonstrate that the bidder made good faith efforts.

A bidder that is not responsive shall be ineligible for award of the contract

Other SBE/DVBE Forms To Be Submitted Within 5 Days of Bid Opening

- A. SBE/DBE Form 2B
- B. SBE/DBE Form 3
- C. SBE/DBE Form 4
- D. SBE/DBE Form 5
- E. SBE/DBE Form 6
- F. SBE/DBE Form 6A (Optional)
- G. GSPD-05-105 State of California- Department of General Services, Procurement Division – Bidder Declaration
- H. DGS PD 843 STATE OF CALIFORNIA DISABLED VETERAN BUSINESS ENTERPRISE DECLARATIONS

SBE/DBE Form 2B: SBE/DBE/LBE/MBE.WBE.DVBE CONTRACTOR/SUBCONTRACTOR GROSS REVENUE DECLARATION



SBE/DBE FEDERAL FORMS

SBE/DBE FORM 2B SBE/DBE/MBE/WBE/DVBE CONTRACTOR/SUBCONTRACTOR GROSS REVENUE DECLARATION

(To be completed by SBE/DBE Prime Bidder/Joint Venture Partner/Subcontractor/Supplier)

Prime Contractor must complete this form, and have it executed by each SBE/DBE joint venture partners and each SBE/DBE, MBE, WBE, DVBE subcontractor (including lower ther SBE/DBE subcontractors), suppliers and truckers. These forms should be submitted to the City with the Bid or within 5 days of bid opening.

Contract Number: Project	Name:			
ECTION I.				
Contractor Name:			Vendor N	o.:
Address:				
Phone No.:	Contrac	tor's License(s):	Federal I,D, No	.:
ECTION II. (Check all applicable Ownership a	nd Cert	ification Type)		
☐ Sole Proprietor		DBE (Issued by California U	nified Certification Progr	am)
☐ Partnership		SBE (Issued by California De	partment of General Ser	vices)
☐ Corporation, S-Corp, LLC		LBE (Issued by San Francisco Contract Monitoring Division)		rision)
		Verified as SBE-Eligible to (Confirmation attached)	by SFPW Compliance C	Officer
ECTION III. Income Threshold For Certain	Types	of Contracts (Check catego	ery for your business)	
otal average gross revenue for the past 3 years mu	ust be e	qual to or below the following	category thresholds:	
Construction - Building, Heavy		\$23.98 Million		
Construction - Dredging and Surface Clean	up	\$23.98 Million		
☐ Construction (specialty trades)		\$15.0 Million		
General Freight Trucking		\$23.98 Million		
☐ Hazardous Waste Collection, Trucking		\$23.98 Million		
Remediation		\$20.5 Million		
☐ Testing Labs		\$15.0 Million		
		\$23.98 Million and no more	than 100 employees	
Other				
DECLARATION				
he undersigned declares under penalty of pross revenues for the past three years are				
Owner/Authorized Representative (Signature)		_	Name and Title (Print)	
Fmail		Telephor	<u> </u>	Date

Prime Contractor must complete this Form, and have it executed by each SBE/DBE joint venture partners and each SBE/DBE, MBE. WBE, DVBE subcontractor (Including lower Tier SBE/DBE subcontractors), suppliers and truckers. These Form should be submitted to the City with the Bid or within 5 Days of bid opening.

SBE/DBE Form 3: CONSTRUCTION EMPLOYMENT FORM

CITY AND COUNTY OF SAN FRANCISC

SBE/DBE FEDERAL FORMS

Construction Contracts

SBE/DBE FORM 3 CONSTRUCTION EMPLOYMENT FORM

This form shall be completed by the Bidder and every listed subcontractor and supplier with a subcontract or purchase order of \$10,000 or more. The apparent low Bidder shall submit these forms directly to the Contract Compliance Officer no later han 4:00 p.m., five (6) working days after Bid opening.

This form must be completed and returned in a timely manner or the Bid may be determined non-responsive and rejected.

Contract Number: Project Name:

SECTION 1.

3LC HON	•			
Firm Name:			Vendor Number:	
Address:				
City:		STATE:	ZI	P:
Phone:	Type of Contractor's License(s):		Federal I.D. Numbe	r:
				<u> </u>

SECTION 2. Submit copies of the last four (4) quarters of the California Quarterly Payroll Tax Record.

List all employees that you plan to utilize on this Contract.

Date of Most Recent Hire	Employee Name ¹	Trade (Carpenter, administration, sales)	Classification (Journeyperson, apprentice/secretary, outside sales)	Race ²	Gender

(copy this page for a complete list)

"Mark with an asterisk all those employees that will be assigned to this project."

Indicate which of the following: Al/AN=American Indian/Alaskan Native; A/PI=Asian/Pacific Islander; B=Black; F=Filipino;
H=Hispanic, or W=White

This form shall be completed by the Bidder and every listed subcontractor and supplier with a subcontract or purchase order of \$10,000 or more. The apparent low Bidder shall submit these forms directly to the Contract Compliance Officer no later than 4:00 p.m., five (5) working days after Bid opening

08/01/2024

SBE/DBE Form 3 - 1

Construction Employment Information

SBE/DBE FORM 4: SFLBE/SBE/DBE/MBE/DVBE/VOSB SUBCONTRACTOR PARTICIPATION DECLARATION

CITY AND COUNTY OF SAN FRANCISCO					SBE/DBE FEDERAL FORMS Construction Contracts			
	SUB		LBE/SBE/D		/WBE/DVB	E/VOSB ECLARATION		
This declaration is to be compl Compliance Officer, no later ti	leted by e han 4:00 p	ach o.m.	prime contract , five (5) work	tor or subco	ontractor, as a ter the date o	appropriate, and subn f Bid opening (refer t	nitted to the Con o Section 00 22 1	tract 4).
,			(Nam	e of Owner/F	Representative	and Title)		
declares that, contingent upo	n award o	of _						
					_	(Name of Prime Co	ontractor)	
will award subcontracts or pu (1)	rchase or the firm is	der a jo	s to the follow aint venture, you	ring SBE/DE ı must attaci	E firms: a copy of the	joint venture agreement	:)	
Name and Address of SBE/DBE Firm	SBE/DE Certific (Type	ed	License No.	Gender M / F	Ethnicity	Type of Work (Describe)	\$ Amount of Subcontract	% of Contract
	(1,750	_						
		_						
Total Dollar Value of SFLBE	/SBE/DBE Work:	\$			=	% of SF	LBE/SBE/DBE Par	ticipation
Total Dollar of B		\$					nority-Owned DB	E
otal Dollar Value of Minority- DI	Owned BE Work:	\$			=	Participation		
Total Dollar of B		\$					oman-Owned DBE	
Total Dollar Value of Woman DI	n-Owned BE Work:	\$			=	Particip	ation	
Total Dollar of B		\$			_	—— % of DV	BE/Veteran-Own	ed
Total Dollar of DVBE/VO		\$			-	Busines	s Participation	
Total Dollar Value of declare, under penalty of and correct.			ler the laws o	of the Stat	e of Californ	ia, that the above	information is t	true
Owner/Auth	horized Re	pre	sentative (Sign	ature)			Date	
08/01/2024				DBE Form		Subcontractor Pa		

This declaration is to be completed by each prime contractor or subcontractor, as appropriate, and submitted to the Contract Compliance Officer, no later than 4:00 p.m., five (5) working days after the date of Bid opening (refer to Section 00 22 14).

SBE/DBEFORM 5: SMALL BUSINESS ENTERPRISE/DISADVANTAGED BUSINESS ENTERPRISE SFLBE/MBE/WBE/DVBE/VOSB ACKNOWLEDGEMENT DECLARATION

CITY AND COUNTY OF SAN FRANCISCO			BE FEDERAL FORMS Construction Contracts
SBE/I SMALL BUSINESS ENTERPRISE/D SFLBE/MBE/WBE/DVBE/VOSB			
Every listed SBE/DBE/SFLBE/MBE/WBE/DVBE/VOSB subcontrac completed declarations to the apparent low Bidder. The appa subcontractors or suppliers' bid quotations directly to the Cod days after the date of Bid opening (refer to Section 00 22 14).	arent low Bidder shall sub intract Compliance Office	omit completed declara	tions and copies of the
SECTION I.			
(Name of SBE/DBE Owner/Representative and Title)			
declares that will awa will awa will awa	ard a subcontract		
in the amount of \$, or a p		amount of \$	
to(Name of SBE/DBE Firm)	, wi	ith License No.	
Nature of work to be performed by SBE/DBE:			
SECTION II. FORM OF OWNERSHIP FOR SBE/DBE			
☐ Sole Proprietor ☐ C	orporation		
☐ Partnership ☐ Li	imited Liability Partne	ership	
☐ Joint Venture ☐ Li	imited Liability Corpo	ration	
Type of SBE/DBE Certification:			
SECTION III. LIST OF OWNERS			
Name	Ethnicity ¹	Gender (M/F)	% of Ownership
Percentage of SBE/DBE/MBE/WBE/DVBE-VOSB Stockhol	Iders		

Every listed SBE/DBE/SFLBE/MBE/WBE/DVBE/VOSB subcontractor or supplier (including lower tier subcontractors) must submit the completed declarations to the apparent low Bidder. The apparent low Bidder shall submit completed declarations and copies of the subcontractors' or suppliers' bid quotations directly to the Contract Compliance Officer no later than 4:00 p.m., five (5) working days after the date of Bid opening (refer to Section 00 22 14)

SBE/DBE FEDERAL FORM 6: FEDERAL SBE/DBE SUBCONTRACTOR PARTICIPATION AFFIDAVIT



Name and Title (Print) Phone

CITY AND COUNTY OF SAN FRANCISCO

SBE/DBE FEDERAL FORMS Construction Contracts

FEDERAL FORM 6: FEDERAL SBE SUBCONTRACTOR PARTICIPATION AFFIDAVIT This affidavit is to be completed by each SBE/DBE/LBE/MBE/WBE/DVBE/VOSB subcontractor or supplier (including lower tie

of:	the subcontractors' or suppliers' bid	d quotations to the SFP	The apparent low Bidder shall submit the co W Contract Compliance Officer no later than dditional sheets if more space is needed to p	5:00 p.m. on the fifth business
Co	ntract Number:	Cor	ntract Name:	
Na	me of Bidder:			
Na	me of SBE/DBE, LBE, MBE, WBE, D	VBE, VOSB Sub or Supp	olier:	
		Sc	ope of work:	
1.		verit	fy that	bid
Ni	ame of SBE, LBE, MBE, WBE, DVBE, VOS	8 Owner/Representative	fy thatSBE/DBE, LBE, MBE, WBE, DVE	3E, VOSB Firm
	to the above referenced Bidder	for subcontracting wor	k in the amount of \$	and_
	have been offered a subcontract	t in that amount.		
2.	Please list major vendors/supplie	ers of goods/services fo	r this project:	
	Name	Phone	Product(s)	\$ Amount
				\$
				\$
Į				\$
3.	Please describe scope(s) of work			
4.	Check one:			
	We will NOT subcontract out AN	•		
	We WILL subcontract out	% of our work to		
	in the assessment of C	This business is as .	Firm SBE Certified Not SBE Certified.	
	in the amount of 5	This business is a	SEE Certified Not See Certified.	
5.	☐ I have enclosed a copy of r	ny Firm's Bid Quotatio	n.	
	eclare, under penalty of perjury, th der Chapter 148 of the SanFrancisc		on is true and correct and that our firm is a bon	a fide, certified SBE as defined
SBI	E/DBE, LBE, MBE, WBE, DVBE, VOS	8 Owner/Authorized R	epresentative (Signature) Date	<u> </u>

Email

This affidavit is to be completed by each SBE/DBE/LBE/MBE/WBE/DVBE/VOSB subcontractor or supplier (including lower tier subcontractors) and submitted to the apparent low Bidder. The apparent low Bidder shall submit the completed affidavits and copies of the subcontractors' or suppliers' bid quotations to the **SFPW Contract Compliance Officer no later than** 4:00 p.m. on the fifth business day following the Bid opening. Subcontractor may attach additional sheets if more space is needed to provide complete information

SBE/DBE FEDERAL FORM 6A: FEDERAL SBE TRUCKING FORM



FORM 6A: FEDERAL SBE TRUCKING FORM

This form must be submitted when the apparent low Bidder will be utilizing SBE/DBE, LBE, MBE, DVBE AND VOSB certified SBE trucking firms. This form is to be completed to describe the complete scope of trucking work to be performed for the Contract and submitted to the SFPW Contract Compliance Officer by § p.m. on the fifth day following Bid opening.

Contract Number:	Contract Name:	
SECTION 1. TRUCKING ESTIMATE		
Products to be Hauled:		
Type of equipment needed/indicate maximum Number of trucks needed per day:		
Quantity of product to be hauled:		
Estimated quantity per truckload:		
Estimated number of truckloads:		
Products to be hauled from (give point of origin):		
Estimated Number of truck hours per trip:		
Trucking Rate:		
Estimate of total trucking (Number of loads times hours per trip times trucking hourly rate):		
If an assigned Trucker is being paid for Administrative Work (i.e. Dispatcher), Describe and State Amount to be Paid:		·
s this assigned Trucking firm an SBE or Non-SBE firm, specify: SBE	Nbn-SBE □	
Total Dollar Amount Committed to SBE Truckers: 5		
* Disposal fee and equipment rental fee w	ill not be counted towards meeting	the SBE trucking dollars amount.
SECTION 2. TRUCKING AND HAULING FIRMS		
ist below SBE/DBE certified trucking and hauling firms th subcontract out portions of its work. Copy this form for a		

Products to be hauled:
Number of Trucks Needed:
Type of Trucks Needed:
Proposed Dollar Amount of subcontract:

This form must be submitted when the apparent low Bidder will be utilizing SBE/DBE, LBE, MBE, WBE, DVBE AND VOSB certified SBE trucking firms. This form is to be completed to describe the complete scope of trucking work to be performed for the Contract and submitted to the SFPW Contract Compliance Officer by 4:00 p.m. on the fifth day following Bid opening.

SBE/DBE/DVBE CERTIFICATION WEB LINKS

SBEs must be certified through any of the following programs in order to be counted toward the SBE participation goal:

A. City Program: To Get a list of Certified San Francisco Small, Micro and Local Business Enterprises to utilize on this Project go to:

https://sfcitypartner.sfgov.org/pages/LBESearch/supplier-search.aspx

To Contact the City's Contract Monitoring Division and apply for Local Business Enterprise (LBE) certification under the City Program, submit an application to:

Contract Monitoring Division (CMD)

1455 Market Street, Suite 16A

San Francisco, CA 94103

Attn: Certification Unit

Telephone: (415) 554-0630

B. State Program: To get a list of certified State firms from the California Department of General Services, go to: caleprocure.ca.gov/pages/PublicSearch/supplier-search.aspx

Questions?

If you have any questions concerning all SBE/DBE Forms, please contact:

Selormey Dzikunu

SFPW Contract Compliance Manager Selormey.Dzikunu@sfdpw.org

(628) 271-2094